

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: A. NISHIDA et al
Serial No.: Not yet assigned
Filed: February 20, 2004
For: A SEMICONDUCTOR INTEGRATED CIRCUIT DEVICE AND
A METHOD OF MANUFACTURING THE SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 & 1.98

Mail Stop: Patent Applications

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 20, 2004

Sir:

In the matter of the above-identified application, applicants are submitting copies of all documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration. Also enclosed are copies of references cited in the International Search Reports of both WO 03/019663 and WO 02/061840. The single publication reference (S. WATANABE et al for 'A Novel Circuit Technology With Surrounding Gate Transistors for Ultra High Density DREAM's') cited in the ISR of WO 03/019663 will be submitted to the USPTO upon receipt in our offices.

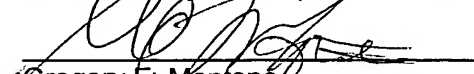
This Information Disclosure Statement is being submitted with the new application.

Although some of the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a)(3) for a concise explanation of the relevance is satisfied by the submission of English language Abstracts on the eight (8) cited Japanese patent references.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (Case: 501.43323X00), and please credit any excess fees to such deposit account.

Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS LLP



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Attachments

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number			
		Filing Date	February 20, 2004		
		First Named Inventor	NISHIDA, AKIO		
		Art Unit			
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	501.43323X00

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US-	5,100,817	03/31/1991	Cederbaum et al	
		US-	5,198,683	03/30/1993	Sivan	
		US-	5,514,615	05/07/1996	Ema et al	
		US-	5,627,390	05/06/1997	Maeda et al	
		US-	5,670,803	09/23/1997	Beilstein, Jr. et al	
		US-	5,780,910	07/14/1998	Hashimoto et al	
		US-	5,994,735	11/30/1999	Maeda et al	
		US-	6,548,885	04/15/2003	Ikeda et al	
		US-				
		US-				

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ –Number ⁴ –Kind Code ⁵ (if known)				
		WO 03/019633	06-03-2002			
		WO 02/061840	08-08-2002			
		JP 2001-028443	01/30/2001			AB
		JP 9-232447	09/05/1997			AB
		JP 9-017965	01/17/1997			AB
		JP 2-040951	02/09/1990			AB
		JP 6-104405	04/15/1994			AB
		JP 1-265558	10/23/1989			AB
		JP 11-026604	01/29/1999			AB
		JP 9-036252	02/07/1997			AB

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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